

*AUSTIN ENT ASSOCIATES*

**Notice of Health Information Practices**  
**Effective Date April 14, 2003**

*THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION.*

*PLEASE REVIEW IT CAREFULLY*

☞ **Understanding Your Health Record / Information**

Each time you visit our Office, or another physician or health care provider contacts us concerning your medical needs or history a record is made by our Office. This record contains medical information generated during your visits to our Office, received by our Office from other health care providers, or provided by you. In this "Notice of Health Information Practices," we shall refer to the information contained in your record as your "**health information.**" This term shall have the same meaning as "**protected health information**" (PHI) defined in the Health Insurance Portability and Accountability Act of 1996, as amended ("HIPAA").

**Within the limits provided by federal and state law, you have the right to:**

- Request restrictions on certain uses and disclosures of your health information;
- Received confidential communications of your health information. You may request that we communicate with you about your health information by alternative means or at an alternative location;
- Inspect and obtain a copy of your health information, except with regard to psychotherapy notes or information compiled in reasonable anticipation of certain civil, criminal or administrative proceedings;
- Request an amendment to your health information that we have created, except with regard to those portions of your health information that you are precluded from inspecting and copying as set forth above.
- Obtain an accounting of certain disclosures of your health information; and
- Receive a paper copy of this Notice in addition to any electronic copy you may receive.

You may exercise any of the above rights by submitting a signed letter detailing your request and mailing or delivering the letter to the Office administrator. However, we encourage you to call first so that we can help you be as specific as possible with your request. We will promptly provide you with any forms needed to process your request.

**This Office is required by law to:**

Maintain the privacy of your health information;

- Provide you with this Notice of our legal duties and privacy practices with respect to health information we collect and maintain about you;
- Abide by the terms of this Notice, currently in effect, and as amended from time to time.
- Notify you if we are unable to honor your request to restrict a use or disclosure of, or to amend, your health information; and
- Accommodate reasonable requests you may have to communicate your health information by alternative means or at alternative locations.

We reserve the right to change our privacy practices and to make the new provisions effective for all of your health information we already have, as well as any health information we receive or create in the future. Should our privacy practices change, we will post a copy of the revised Notice in our waiting area, which indicates the effective date of the amended Notice. You may request and obtain a copy of our Notice of Privacy Practices anytime you visit our office.

If a use or disclosure of your health information is not permitted under law without a written authorization, we will not use or disclose your health information without that written authorization. You may at any time revoke a written authorization in writing, except to the extent that we have already taken action in reliance of your authorization.

☞ **For More Information or to Report a Problem**

If you have questions and would like additional information concerning this notice, please call our Office administrator at (512) 458-6391. If you believe that we have violated any of your privacy rights, you may file a written complaint with our Office Administrator, or mail your written complaint to 3705 Medical Parkway, Suite 310, Austin, TX 78705. You may also file your complaint with the Secretary of Health and Human Services. There will be no penalty or retaliation for filing a complaint.

**The following are examples of uses and disclosures of your health information which are permitted by law:**

**We will use your health information for treatment.** We will use your health information to provide medical services to you. any of our staff involved in your care will have access to your health information. we may also provide your health information to other health care providers involved in your care to assist them in providing services to you. **However, we will not disclose psychotherapy notes to health care providers who are not the originators of those notes unless we have your written authorization to do so.**

**We will use your health information for payment.** Your health plan or health insurer will require certain information about your condition and the services you receive from us, before payment will be made, or for pre-authorization purposes. Accordingly, for billing purposes, we may disclose your health information to your health plan or health insurer. We also may disclose health information to your health plan or health insurer when they require pre-authorization of a recommended procedure.

**We will use your health information for regular health care operations.** Members of our staff may review and use health information from your record to assess the care and outcomes in your case and others like it. This information will then be used by us in an effort to continually improve the quality and effectiveness of our services.

**Additional Uses and Disclosures**

**Business Associates:** Certain of our business operations may be performed by other businesses. We refer to these companies as "business associates." In order for these business associates to perform the required service (billing, accounting services, diagnosis testing, labs, transcription, hearing aid companies, etc.) we may need to disclose your health information to them so that they can perform the job we've asked them to do. To protect you, we require our business associates to appropriately safeguard your health information.

**Communications with Persons Involved in Your Care:** We may disclose your health information that is directly relevant to your care to individuals you wish to receive such information, including family members, relatives, close personal friends, or other persons you identify. Before we do so, we will ask you, and follow your instructions, as to whether or not to make such disclosures. If you are incapacitated, or involved in an emergency, we may use or make disclosures of your health information that we believe in our professional judgment are in your best interests, but only to the extent that such health information is directly relevant to the recipients' involvement in your care.

**Appointment, Reminders and Information on Treatment Alternatives:** We may contact you to provide appointment reminders, information concerning treatment alternatives or other health-related benefits, alternatives and services that maybe of interest to you. This would include confirmation of appointments by phone.

**Required by Law:** We may use or disclose your health information to the extent such use or disclosure is required by law and is limited to the relevant requirements of such law.

**Public Health, Health Oversight and the Food and Drug Administration (FDA):** As required by law, we may disclose your health information to public health or legal authorities charged with preventing or controlling disease, injury, or disability. We may also be required by law to disclose your health information to health oversight agencies responsible for regulating the health care system, government benefit programs, and civil rights laws, so that they may conduct among other things, audits, investigations, and inspections. For the purpose of activities relating to the quality, safety or effectiveness of a FDA-regulated product or activity, we may disclose to the FDA your health information relating to adverse events with drugs, supplements, and other products, as well as information needed to enable product recalls, repairs, or replacements.

**Victims of Abuse, Neglect or Domestic Violence:** If we reasonably believe that you are the victim of abuse, neglect or domestic violence, we may disclose your health information to a governmental authority responsible for receiving these types of reports, to the extent the disclosure is required by law, or you agree to the disclosure. If the disclosure is authorized by law, by not required, we may disclose your information if we determine that disclosure is necessary to prevent serious harm to you or others.

**Judicial and Administrative Proceedings:** If you are involved in a judicial or administrative proceeding, we may, in response to an order of a court or administrative tribunal, or in response to a subpoena, discovery request, or other lawful process, disclose the specific portion of your health information that are requested. If the subpoena, discovery request or other lawful process is not accompanied by a court or administrative tribunal order, we may disclose you health information only after we are assured that reasonable efforts have been made to notify you of the request, and the time for you to raise objections to the request has expired, or reasonable efforts have been made by the requestor to seek a protective order concerning the requested health information.

**Law Enforcement:** We may disclose your health information to a law enforcement official for law enforcement purposes, as required by law, a court ordered subpoena or summons, a grand jury subpoena or summons, or an administrative subpoena or summons, under certain circumstances. In specific situations, the law also permits us to disclose limited pieces of your health information, when the information is needed by law enforcement officials to: 1) identify a suspect, fugitive, material witness, or missing person; 2) identify a victim of a crime; 3) alert law enforcement officials concerning your death; 4) notify law enforcement officials when a crime has been committed on our premises; or 5) in an emergency, when necessary is alert law enforcement officials about a crime, its location, or the identity of a perpetrator.

**Coroners Medical Examiners and Funeral Directors:** We may disclose your health information to a coroner or medical examiner for the purpose of identifying you upon your passing, or to determine a cause of death. We may also disclose your health information to your funeral director if needed to complete his or her authorized duties.

**Organ, Eye or Tissue Donation:** If you are an organ, eye or tissue donor, we may release your health information to organizations that procure, bank or transplant organs for the purpose of facilitating organ, eye or tissue donation and transplantation. **Research:** We may disclose your health information to researchers when their research has been approved by an institutional review board or privacy board that has reviewed the research proposal and established protocols to ensure the privacy of your health information, thereby meeting the requirements under HIPAA. We may also disclose your health information for the purposes of research, public health or health care operations pursuant to a Data Use Agreement protecting that information as specified by HIPAA.

**Avert a Serious Threat to Health or Safety:** Consistent with applicable law and standards of ethical conduct, we may, in limited circumstances, use or disclose your health information, in good faith, believe such use or disclosure is necessary to prevent or lessen a serious and imminent threat to health or safety of a person or the public.

**Military Personnel:** If you are a member of the United States Armed Services, we may disclose you health information to the appropriate military command authority when such information is deemed necessary to assure the proper execution of the military mission. **National Security and Presidential**

**Protective Services:** We may disclose you health information to authorized federal officials for the conduct of lawful intelligence and national security activities, as well as the provision of protective services to the President and other protected individuals. **Inmates and Individuals in Custody:** If you are an inmate or otherwise in custody, we may disclose your health information to the correctional facility or law enforcement official having lawful custody of you.

**Workers' Compensation:** We may disclose your health information to the extent authorized and necessary to comply with laws relating to workers' compensation or other similar programs established by law.

**ACKNOWLEDGMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICES**

By signing below I acknowledge that I have received a copy of the Notice of Privacy Practices.

Name of Patient: \_\_\_\_\_

Signature of patient or personal representative: \_\_\_\_\_

Relationship to patient if signed by personal representative: \_\_\_\_\_

Our office has made a good faith effort to obtain a written acknowledgement of receipt of this Notice provided to the patient, but was unable to do so for the following reason:

\_\_\_\_\_ Refused to sign \_\_\_\_\_ Physically unable to sign \_\_\_\_\_ Other \_\_\_\_\_

Employee Signature: \_\_\_\_\_ Date: \_\_\_\_\_