RIA Fiduciary 401k Plan Option™

Executive Summary

401k plans through an RIA (Registered Investment Advisor) platform provide timely, accurate, and responsive retirement plan services. Plan design includes low cost and fee transparency. 3(38) Fiduciary Investment Manager services provided by Wealth Management LLC.

How does this plan benefit the employer?

Lower cost

- **Elimination of high-cost funds**: The plan uses only institutional class funds
- **Lower cost of administration**: The bundled RIA solution is generally lower cost than those provided by an insurance company or payroll provider
- **Transparent, easy-to-understand fees**: No hidden fees or revenue sharing

More employer services

- **Single point of contact**: You get to know the consultant working on your plan
- **USA-based customer service**: no offshore call centers
- **Education for employees**: custom website provides education and online services for employee access at any time
- **Fiduciary coverage**: 3(38) Fiduciary Investment Manager, Wealth Management LLC, selects and monitors the investments, including professionally managed portfolios

When can an employer group join?

- Any calendar month

Why would an employer participate?

- **Philosophy**: There is a difference between having something done, and having it done as well as it could or should be done
- **Service**: Striving to exceed expectations for timeliness, accuracy, and responsiveness
- **Plan design**: TPA professional experience and qualifications in plan design
- **Performance**: Screening process evaluates 18,000 funds to determine lineup
- **Price/fees**: Transparent fees tend to lead to lower costs
- **Employee outcomes**: Plan design and a transparent lower fee structure may drive toward better employee retirement outcomes

What about an employer's current plan?

- Current plan may be transferred, rolled, or converted to the new 401k plan

What if an employer does not have a current plan?

- Start-up plans and start-up advice available

The Hahn Financial Group, Inc. (HFG) is an SEC Registered Investment Advisor focusing on retirement plans, Self-Funded Health Plans with Stop-Loss, and wealth management. HFG serves for-profit and not-for-profit small/large employers, groups, associations, cooperatives, buying groups, and religious entities. We believe in 100% transparency in all we do.

HFG offers strategies and advice focused on our clients' challenges and unique opportunities.

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